RECEIVED CENTRAL FAX CENTER

JAN 2 4 2006

Patent

Case No.: 54839US002

32692 Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

AVERILL, RICHARD F.

Application No.:

09/302336

Group Art Unit:

2165

Filed:

April 29, 1999

Examiner:

Samuel G. Rimell

Title:

METHOD OF GROUPING AND ANALYZING CLINICAL RISKS, AND

SYSTEM THEREFOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop: RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

n A. Bern, Reg. No.: 57,095 Telephone No.: 651-733-2255

Office of Intellectual Property Counsel 3M Innovative Properties Company

Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number	09/302336
Filing Date	April 29, 1999
First Named Inventor	Averill, Richard F.
Art Unit	2165
Examiner Name	Samuel G. Rimell
Attorney Case Number	54839US002

U.S. Patent Documents							
Exam. Init.*	.Cite No.	Document Number	Publication Date or	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Doc. Number-(Kind Code if Known)	MM-DD-YYYY				
	A1	US- 5,018,067	05-21-1991	Mohlenbrock et al.			
	A2	US- 5,229,584	07-20-1993	Erickson			
	А3	US- 5,253,164	10-12-1993	Holloway et al.			
	A4	US- 5,483,443	01-09-1996	Milstein et al.			
	A5	US-					
	A6	US-					
	A7	US-					
	A8	US-		Ÿ			
	A9	US-					
	A10	US-					
	A11	US-					

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,	Translation
		· Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	(Check if yes)
	B1						
	B2						
	В3						
	B4						
	B5						
	В6						
	B7						

OTHER DOCUMENTS				
Exam, Cite Init.* No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
···	C1			
***********	C2			
	СЗ			

4-		
π [- τ	kamin	O٢
	NGI HIHEL	CI.

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)